# EQUATIONS OF PARAMETRIC SURFACES WITH BASE POINTS VIA SYZYGIES

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ABSTRACT. Let S be a parametrized surface in  $\mathbf{P}^3$  given as the image of  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$ . This paper will show that the use of syzygies in the form of a combination of moving planes and moving quadrics provides a valid method for finding the implicit equation of S when certain base points are present. This work extends the algorithm provided by Cox [5] for when  $\phi$  has no base points, and it is analogous to some of the results of Busé, Cox, and D'Andrea [2] for the case when  $\phi: \mathbf{P}^2 \to \mathbf{P}^3$  has base points.

#### 1. Introduction

The use of syzygies has been explored in a number of recent works as an alternative to resultants for producing determinantal formulas for the equations of rationally parametrized curves and surfaces. The article by Cox [4] provides a detailed survey of the current status of the problem of finding the implicit equation of a rational surface  $S \subset \mathbf{P}^3$  described implicitly by a map  $\phi: X \to \mathbf{P}^3$ , where X is either  $\mathbf{P}^2$  or  $\mathbf{P}^1 \times \mathbf{P}^1$ . The reader is referred to this paper and its references for a discussion of the history of the use of syzygies in the implicitization problem, that is, the problem of finding a generator for the ideal I(S) from the knowledge of  $\phi$ .

In this paper we will only consider the case  $X = \mathbf{P}^1 \times \mathbf{P}^1$ , so that our parametrization map  $\phi : \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  will have the form

(1) 
$$\phi = [a_0(s, u; t, v), a_1(s, u; t, v), a_2(s, u; t, v), a_3(s, u; t, v)],$$

where  $a_0, a_1, a_2, a_3 \in R = \mathbb{C}[s, u, t, v]$  are bihomogeneous polynomials of bidegree (m, n). Moreover, we always assume that  $gcd(a_0, a_1, a_2, a_3) = 1$ . Even with the gcd assumption, it can happen that there are points of  $\mathbf{P}^1 \times \mathbf{P}^1$  where all of the  $a_i, 0 \le i \le 3$ , vanish simultaneously. These are points, referred to as base points, where the map  $\phi$  is not defined. The goal of this paper is to study the implicitization problem when base points are present, but we will start by summarizing how syzygies were employed by Cox, Goldman, and Zhang [6], [5] to produce a determinantal equation for S in the case of no base points. If  $\phi$  has no base points, that is,  $V(a_0, a_1, a_2, a_3) = \emptyset$  in  $\mathbf{P}^1 \times \mathbf{P}^1$ , and  $\phi$  is generically one-to-one, then the image of  $\phi$  is a surface  $S \subset \mathbf{P}^3$  of degree 2mn, [5, Theorem 3.1], [6].

In the polynomial ring  $\mathbb{C}[s, u, t, v, x_0, x_1, x_2, x_3] = R[x_0, x_1, x_2, x_3]$ , consider the polynomial  $\sum_{i=0}^{3} A_i x_i$  where  $A_i \in R$   $(0 \le i \le 3)$  are bihomogeneous polynomials, all of the same bidegree. If we fix a point  $\mathbf{p} = [s, u; t, v] \in \mathbf{P}^1 \times \mathbf{P}^1$ , then  $\sum_{i=0}^{3} A_i(\mathbf{p}) x_i = 0$  is an equation of a plane in  $\mathbf{P}^3$ , provided some  $A_i(\mathbf{p}) \ne 0$ . When the point  $\mathbf{p}$  changes, we will obtain different equations of planes in  $\mathbf{P}^3$ . This suggests the following definition:

<sup>1991</sup> Mathematics Subject Classification. Primary:14Q10, Secondary:13D02, 14Q05.

Key words and phrases. parametrization, implicit equation, base points, local complete intersection, syzygy, saturation.

We would like to thank David Cox for numerous discussions and suggestions.

**Definition 1.1.** A moving plane on  $\mathbf{P}^3$  is a polynomial of the form

$$\sum_{i=0}^{3} A_i x_i$$

where, for  $0 \le i \le 3$ ,  $x_i$  are homogeneous coordinates on  $\mathbf{P}^3$  and  $A_i \in R$  are bihomogeneous polynomials of the same bidegree (k, l), which we will call the bidegree of the moving plane. We say the moving plane follows the parametrization  $\phi$  if

$$\sum_{i=0}^{3} A_i(\mathbf{p}) a_i(\mathbf{p}) = 0, \text{ for all } \mathbf{p} \in \mathbf{P}^1 \times \mathbf{P}^1,$$

which is equivalent to

(2) 
$$\sum_{i=0}^{3} A_i a_i = 0 \in \mathbb{C}[s, u, t, v]$$

where the polynomials  $a_i$  ( $0 \le i \le 3$ ) are the parameters that define the surface S.

In the language of commutative algebra, Equation (2) states that the moving plane  $\sum_{i=0}^{3} A_i x_i$  follows the parametrization  $\phi$  if and only if

$$(A_0, A_1, A_2, A_3) \in \text{Syz} (a_0, a_1, a_2, a_3)$$

where Syz  $(a_0, a_1, a_2, a_3)$  denotes the syzygy submodule of  $R^4$  determined by  $a_0, a_1, a_2, a_3 \in R$ . Analogously:

**Definition 1.2.** A moving quadric is polynomial

$$\sum_{0 \le i \le j \le 3} A_{ij} x_i x_j$$

which is quadratic in the homogeneous variables  $x_i$  ( $0 \le i \le 3$ ) and where all of the  $A_{ij} \in R$  are bihomogeneous polynomials of the same bidegree (k,l). We will call this common bidegree (k,l) the bidegree of the moving quadric.

As with moving planes, a moving quadric follows the parametrization  $\phi$ , if

$$(A_{00}, A_{01}, \dots, A_{33}) \in \text{Syz} (a_0^2, a_0 a_1, \dots, a_3^2),$$

which means that

$$\sum_{0 \le i \le j \le 3} A_{ij}(\mathbf{p}) a_i(\mathbf{p}) a_j(\mathbf{p}), \quad \text{for all } \mathbf{p} \in \mathbf{P}^1 \times \mathbf{P}^1.$$

We will primarily have occasion to focus on moving planes and moving quadrics of bidegree (m-1, n-1) that follow the parametrization  $\phi$ , which we have assumed has bidegree (m, n). If  $R_{k,l} \subset R$  denotes the bihomogeneous forms of bidegree (k,l), then the moving planes of bidegree (m-1, n-1) make up the kernel of the complex linear map

$$MP: R^4_{m-1,n-1} \xrightarrow{[a_0 \ a_1 \ a_2 \ a_2 \ a_3]} R_{2m-1,2n-1}$$

given by

$$MP(A_0, A_1, A_2, A_3) = \sum_{i=0}^{3} A_i a_i.$$

Note that the standard monomial basis of  $R_{k,l}$  is

$$\mathcal{B}_{k,l} = \left\{ s^i u^{k-i} t^j v^{l-j} : 0 \le i \le k, \ 0 \le j \le l \right\}$$

so that  $\dim_{\mathbb{C}} R_{k,l} = (k+1)(l+1)$ . With respect to the standard bases  $\mathcal{B}_{m-1, n-1}^4$  on  $R_{m-1, n-1}^4$  and  $\mathcal{B}_{2m-1, 2n-1}$  on  $R_{2m-1, 2n-1}$ , the linear map MP is represented by a  $4mn \times 4mn$  matrix that, by abuse of notation, we will also denote by MP. If  $\phi$  has no base points and is generically one-to-one, then MP is an isomorphism [5, page 8], so that there are no moving planes of bidegree (m-1, n-1). One of our results (Lemma 4.5) is the verification that certain base points of total multiplicity k will will have the effect of producing exactly k linearly independent moving planes.

Similarly, the moving quadrics of bidegree (m-1, n-1) are the kernel of the map

$$MQ: R_{m-1,n-1}^{10} \xrightarrow{[a_0^2 \ a_0 a_1 \ \cdots \ a_3^2]} R_{3m-1,3n-1}$$

given by

$$MQ(A_{00}, A_{01}, \dots, A_{33}) = \sum_{0 \le i \le j \le 3} A_{ij} a_i a_j.$$

As for the case of moving planes, we will identify the map MQ with the  $9mn \times 10mn$  matrix which represents MQ in the standard bases. Since

$$\dim R_{m-1,n-1}^{10} - \dim R_{3m-1,3n-1} = 10mn - 9mn = mn,$$

it follows that dim Syz  $(a_0^2, \dots, a_3^2)_{m-1, n-1} \ge mn$  and

$$\dim \operatorname{Syz}(a_0^2, \cdots, a_3^2)_{m-1, n-1} = mn \iff MQ$$
 has maximal rank.

Thus, if MQ has maximal rank, we can choose a basis of exactly mn linearly independent moving quadrics of bidegree (m-1, n-1) which follow the parametrization  $\phi$ . Each of these mn linearly independent moving quadrics  $Q_k$   $(1 \le k \le mn)$  can be written as

$$Q_{k} = \sum_{0 \leq i \leq j \leq 3} A_{ij} x_{i} x_{j}$$

$$= \sum_{0 \leq i \leq j \leq 3} \left( \sum_{\alpha=0}^{m-1} \sum_{\beta=0}^{n-1} A_{ij,\alpha\beta} s^{\alpha} t^{\beta} \right) x_{i} x_{j}$$

$$= \sum_{\alpha=0}^{m-1} \sum_{\beta=0}^{n-1} \left( \sum_{0 \leq i \leq j \leq 3} A_{ij,\alpha\beta} x_{i} x_{j} \right) s^{\alpha} t^{\beta}$$

$$= \sum_{\alpha=0}^{m-1} \sum_{\beta=0}^{n-1} Q_{k,\alpha\beta} (x_{0}, x_{1}, x_{2}, x_{3}) s^{\alpha} t^{\beta}$$

$$(4)$$

where  $Q_{k,\alpha\beta}$  is a quadric in  $x_i$  with coefficients in  $\mathbb{C}$ . To simplify the notation somewhat, we have identified the bihomogeneous monomial  $s^{\alpha}u^{m-1-\alpha}t^{\beta}v^{n-1-\beta}$  with its particular dehomogenized form  $s^{\alpha}t^{\beta}$  obtained by taking u=v=1. Arrange the  $Q_{k,\alpha\beta}$  into a square matrix M of size  $mn \times mn$ , where the columns of the matrix M are indexed by the monomial basis  $\{s^{\alpha}t^{\beta}\}_{\alpha=0,\beta=0}^{m-1,n-1}$  of  $R_{m-1,n-1}$ , and the rows are indexed by the mn moving quadrics  $Q_k$   $(1 \le k \le mn)$ . Since each entry of M is a quadric in  $x_i$ , we may write

$$M = [Q_{k,\alpha\beta}],$$

so that the determinant of M, denoted as usual by |M|, is a polynomial in the variables  $x_i$  of degree  $\leq 2mn$ . One of the main results of [6] uses the matrix M to give a determinantal equation for  $S = \text{Im}(\phi)$ .

**Theorem 1.3.** Suppose that  $\phi : \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  has no base points and is generically one-to-one. If MP has maximal rank, then so does MQ and furthermore, the image of  $\phi$  is defined by the determinantal equation |M| = 0.

Proof. See [5, Theorem 3.1].

The goal of this paper is to prove a similar result where base points are allowed so long as each base point is a local complete intersection and the total multiplicity of all base points does not exceed mn. In the case that  $\mathbf{P}^1 \times \mathbf{P}^1$  is replaced by  $\mathbf{P}^2$ , a similar extension has already been done by Busé, Cox, and D'Andrea [2]. The strategy is to replace certain of the moving quadrics in the matrix M with the k linearly independent moving planes which exist because of the presence of the base points. The proofs of these results require an extension of the concept of regularity of a module, which is traditionally a concept for graded modules, to cover the case of bigraded modules. This extension was developed in a recent series of papers [9], [10]. We will start by summarizing the results needed from these papers, and prove some additional results needed for the application to our implicitization problem.

### 2. Bigraded regularity and Saturation

We will start by recalling the definition and some of the results concerning bigraded regularity and saturation as developed in [9]. Our main goal in this section is a bound on the bigraded regularity of the saturation of a power of an ideal. This result is inspired by results of Chandler [3].

In this section we will work over the polynomial ring  $R = K[x_0, \ldots, x_m, y_0, \ldots, y_n]$  where K is an infinite field, and  $m, n \geq 1$ . We will make R into a bigraded K-algebra in the normal manner by assigning the bidegree (1, 0) to the  $x_i$  variables and the bidegree (0, 1) to the  $y_j$  variables. Moreover, we will partially order  $\mathbb{Z}^2$  by the rule  $(k, l) \leq (r, s)$  if  $k \leq r$  and  $l \leq s$ . As usual, we let  $R_{k,l}$  denote the K-subspace of R consisting of bihomogeneous polynomials of bidegree (k, l), and if M is a bigraded R-module, then  $M_{k,l}$  is the (k, l) bihomogeneous part of M. Let

$$\mathbf{m} = \langle x_0, \ldots, x_m \rangle \cap \langle y_0, \ldots, y_n \rangle = \langle \{x_i y_j : 0 \le i \le m, 0 \le j \le m\} \rangle \subset R.$$

The ideal  $\mathbf{m}$  is known as the *irrelevant ideal* of R. Moreover we note that the local cohomology modules  $H^i_{\mathbf{m}}(M)$  are naturally bigraded R-modules.

**Definition 2.1.** (See [9, Definition 3.1]) We say that a bigraded R-module M is (p, p')-regular if for all i > 0,

$$H_{\mathbf{m}}^{i}(M)_{k,k'} = 0$$
 whenever  $(k, k') \in \operatorname{Reg}_{i-1}(p, p')$ ,

where 
$$\text{Reg}_{j}(p, p') = \{(x, y) \in \mathbb{Z}^{2} : x \geq p - j, y \geq p' - j, x + y \geq p + p' - j - 1\}.$$

- Remark 2.2. 1. The definition of (p, p')-regular given here coincides with what is called weakly (p, p')-regular in [9]. In that paper, a concept known as strongly (p, p')-regular is also introduced and studied. Since we will not need this stronger version in this paper, we shall simply use the term (p, p')-regular for what would normally be referred to as weakly (p, p')-regular.
  - 2. The definition given above for (p, p')-regularity is an extension to bigraded modules of the concept of Castelnuovo regularity for graded modules as found, for example, in Ooishi [13]. The concept of regularity was originally defined for coherent sheaves of modules on projective space by Mumford [12], and this version of regularity is also treated in the bigraded case in [9]. The reader is referred to this paper for a precise comparison of the two concepts. However, in case the bigraded R module M is an ideal  $I \subset R$  generated by bihomogeneous polynomials and  $\mathcal{I} \subset \mathcal{O}_X$  (where  $X = \mathbf{P}^m \times \mathbf{P}^n$ ) is the corresponding sheaf of ideals in the structure sheaf  $\mathcal{O}_X$ , the equivalence is expressed by the following result. See [9, Proposition 3.5] for details.

**Proposition 2.3.** With the above notation, the bihomogeneous ideal  $I \subset R$  is (p, p')-regular if and only if natural map

$$I_{p,p'} \to H^0(X, \mathcal{I}(p, p'))$$

is an isomorphism and

$$H^{i}(X, \mathcal{I}(k, k') = 0 \text{ whenever } (k, k') \in \operatorname{Reg}_{i}(p, p').$$

As usual,  $\mathcal{I}(k, k')$  denotes the twisting of  $\mathcal{I}$  in bidegree (k, k'). Moreover, if I is (p, p')-regular, then the natural map

$$I_{d,d'} \to H^0(X,\mathcal{I}(d,d'))$$

is an isomorphism for all  $(d, d') \ge (p, p')$ .

**Definition 2.4.** Let M be a bigraded submodule of a finitely generated free R-module F. The saturation of the module M, denoted by  $M^{\text{sat}}$  or sat(M) is the submodule of F defined by

$$M^{\text{sat}} = \{ f \in F : \mathbf{m}^k f \subset M, \text{ for some } k \}.$$

The submodule M is said to be saturated if  $M = M^{\text{sat}}$ , while M is (p, p')-saturated if

$$M_{k,k'}^{\text{sat}} = M_{k,k'} \text{ for all } (k, k') \ge (p, p').$$

**Lemma 2.5.** Let  $R = K[x_0, \ldots, x_m, y_0, \ldots, y_n]$  where  $(m, n) \ge (1, 1)$  and let M be a bigraded submodule of a free R-module F of finite rank. Then

- 1.  $H_{\mathbf{m}}^{0}(M) = 0$ , and 2.  $H_{\mathbf{m}}^{1}(M) \cong M^{\text{sat}}/M$ .

*Proof.*  $H_{\mathbf{m}}^0(M) = \bigcup_n (0:_M \mathbf{m}^n) = 0$  since M is a submodule of a free module F. The long exact cohomology sequence for

$$0 \longrightarrow M \longrightarrow F \longrightarrow F/M \longrightarrow 0$$

has a segment

$$H^0_{\mathbf{m}}(F) \ \longrightarrow \ H^0_{\mathbf{m}}(F/M) \ \longrightarrow \ H^1_{\mathbf{m}}(M) \ \longrightarrow \ H^1_{\mathbf{m}}(F).$$

Since F is free and  $(m, n) \geq (1, 1)$ , it follows that  $\operatorname{grade}_F(\mathbf{m}) \geq 2$ , so that  $H^i_{\mathbf{m}}(F) = 0$  for i = 0, 1 (see [1, Theorem 6.2.7, Page 109]). Thus there is an isomorphism

$$H^1_{\mathbf{m}}(M) \cong H^0_{\mathbf{m}}(F/M) = M^{\text{sat}}/M.$$

**Proposition 2.6.** Let M be a bigraded submodule of a free R-module F of finite rank and let  $\mathcal{M}$  be the corresponding coherent sheaf of modules on  $X = \mathbf{P}^m \times \mathbf{P}^n$ . Then

$$M_{k,l}^{\text{sat}} = H^0(X, \mathcal{M}(k, l)).$$

*Proof.* For any finitely generated bigraded R-module M there is an exact sequence (see [11, Corollary 1.5]):

$$0 \longrightarrow H^0_{\mathbf{m}}(M) \longrightarrow M \longrightarrow \bigoplus_{(a,b)\in\mathbb{Z}^2} H^0(X,\mathcal{M}(a,b)) \longrightarrow H^1_{\mathbf{m}}(M) \longrightarrow 0.$$

Since M and  $M^{\text{sat}}$  generate the same sheaf M on X, we can apply this exact sequence with M replaced by  $M^{\rm sat}$ . Lemma 2.5 shows that  $H^i_{\mathbf{m}}(M^{\rm sat})=0$  for i=0,1, and the result follows. 

Corollary 2.7. If M is a bigraded submodule of a free R-module F of finite rank, then M is (p, p')-saturated if and only if  $H^1_{\mathbf{m}}(M)_{k, k'} = 0$  for all  $(k, k') \geq (p, p')$ . Moreover, if M is (p, p')-regular, then M is (p, p')-saturated.

The converse of the last statement is true in the case of dimension 0:

**Lemma 2.8.** Let  $I \subset R$  be a bihomogeneous ideal with dim R/I = 0, where dim refers to Krull dimension. Then the following are equivalent:

- 1. I is (p, p')-saturated.
- 2. I is (p, p')-regular.
- 3.  $I_{k,k'} = R_{k,k'}$  for all  $(k, k') \ge (p, p')$ .

*Proof.* (1.  $\Leftrightarrow$  3.) This is clear since I is  $\langle x, y \rangle$ -primary.

- $(2. \Rightarrow 1.)$  Corollary 2.7.
- $(1. \Rightarrow 2.)$  According to Definition 2.1, we need to show that

(\*) 
$$H_{\mathbf{m}}^{i}(I)_{k,k'} = 0 \text{ whenever } (k, k') \in \text{Reg}_{i-1}(p, p').$$

Since  $H_{\mathbf{m}}^0(I) = 0$ , (\*) is certainly true for i = 0, and since I is (p, p')-saturated,  $H_{\mathbf{m}}^1(I)_{k,k'} = I_{k,k'}^{\mathrm{sat}}/I_{k,k'} = 0$  for all  $(k,k') \in (p,p') + \mathbb{Z}_+^2 = \mathrm{Reg}_0(p,p')$ , where  $\mathbb{Z}_+ = \{x \in \mathbb{Z} : x \geq 0\}$ . Thus (\*) is satisfied for i = 1. Now consider the case  $i \geq 2$ . The long exact cohomology sequence of the exact sequence

$$0 \longrightarrow I \longrightarrow R \longrightarrow R/I \longrightarrow 0$$

contains the segment

$$H^{i-1}_{\mathbf{m}}(R/I) \longrightarrow H^{i}_{\mathbf{m}}(I) \longrightarrow H^{i}_{\mathbf{m}}(R) \longrightarrow H^{i}_{\mathbf{m}}(R/I).$$

Since dim R/I=0, it follows that  $H^i_{\mathbf{m}}(R/I)=0$  for  $i\geq 1$ , so that if  $i\geq 2$ , we conclude that  $H^i_{\mathbf{m}}(I)=H^i_{\mathbf{m}}(R)$ . By [9, Proposition 4.3 and Corollary 4.5], R is (0,0)-regular, and by [9, Theorem 3.4], it follows that R is (p,p')-regular for all  $(p,p')\geq (0,0)$ . Therefore,  $H^i_{\mathbf{m}}(I)_{k,k'}=H^i_{\mathbf{m}}(R)_{k,k'}=0$  for all  $(k,k')\in \mathrm{Reg}_{i-1}(p,p')$ . Thus, (\*) is also satisfied for  $i\geq 2$ , and hence I is (p,p')-regular.

With this background out of the way we can proceed with a discussion of the results on regularity of the powers of a bihomogeneous ideal that will be needed for the implicitization problem.

**Proposition 2.9.** Let  $I \subset R$  generated by bihomogeneous forms of bidegree  $\leq (r, r')$ , and assume that I is (p, p')-regular. If  $\dim R/I = 0$ , then  $I^e$  is (l, l')-regular for some  $(l, l') \leq ((e-1)r + p, (e-1)r' + p')$ .

*Proof.* The proof is by induction on e. The result is true for e=1 by assumption. Since  $\dim R/I = \dim R/I^e = 0$ , we can proceed by induction, and assume that  $I^{e-1}$  is ((e-2)r + p, (e-2)r' + p')-regular.

According to Lemma 2.8, we need to show that  $I_{k,k'}^e = R_{k,k'}$  for any  $(k, k') \ge ((e-1)r + p, (e-1)r' + p')$ . For this, it will suffice to show that  $M \in I^e$  for every monomial M of bidegree (k, k'), where  $(k, k') \ge ((e-1)r + p, (e-1)r' + p')$ . Thus let M be an arbitrary monomial of bidegree  $(k, k') \ge ((e-1)r + p, (e-1)r' + p')$ . Write M as a product M = NN' where N and N' are monomials of bidegrees (p, p') and (k - p, k' - p'), respectively. Suppose that  $I = \langle f_1, \dots, f_s \rangle$ , where bideg $(f_i) = (d_i, d'_i) \le (r, r')$ , for all i. Since I is (p, p')-regular,  $N \in I$  by Lemma 2.8. Thus we can write  $N = \sum_{i=1}^s N_i f_i$ , where bideg $(N_i) = (n_i, n'_i) = (p - d_i, p' - d'_i) \ge (p - r, p' - r')$ , so that

$$bideg(N_iN') \ge (k - r, k - r') \ge ((e - 2)r + p, (e - 2)r' + p').$$

By the induction hypothesis,  $N_i N' \in I^{e-1}$ , and hence  $M = \sum_{i=1}^r N_i N' f_i \in I^{e-1} I = I^e$ . By Lemma 2.8, we conclude that  $I^e$  is ((e-1)m+p, (e-1)m'+p')-regular.

**Theorem 2.10.** Let  $I \subset R$  be a bihomogeneous ideal, and assume that

- 1.  $\mathbb{V}(I) \subset \mathbf{P}^m \times \mathbf{P}^n$  is finite;
- 2. I is (p, p')-regular;
- 3. I is generated by forms of bidegree  $\leq (r, r')$ .

Then  $J = \text{sat}(I^e)$  is ((e-1)r + p, (e-1)r' + p')-regular.

*Proof.* We will let  $X = \mathbf{P}^m \times \mathbf{P}^n$  and Z will denote the finite subscheme  $\mathbb{V}(I)$ . The proof is by induction on e. Suppose e = 1. In this case, it is necessary to show J is (p, p')-regular, i.e.,  $H_{\mathbf{m}}^{i}(J)_{k,k'}=0$  for all  $i\geq 0$  and  $(k,k')\in \operatorname{Reg}_{i-1}(p,p')$ . Since J is a saturated ideal, Lemma 2.5 shows that  $H_{\mathbf{m}}^{i}(J) = 0$  for i = 0, 1, so that  $H_{\mathbf{m}}^{i}(J)_{k,k'} = 0$  for i = 0, 1 and for all k, k'. If  $i \geq 2$ , let  $\mathcal{I}$  and  $\mathcal{J}$  be the sheaves on  $X = \mathbf{P}^{m} \times \mathbf{P}^{n}$  defined by I, and J respectively. The

long exact cohomology sequence of the exact sequence

$$0 \longrightarrow \mathcal{I} \longrightarrow \mathcal{J} \longrightarrow \mathcal{J}/\mathcal{I} \longrightarrow 0$$

tensored with  $\mathcal{O}(k, k')$  contains the segment

$$H^{i-1}(Z,(\mathcal{J}/\mathcal{I})(k,\,k')) \to H^i(X,\mathcal{I}(k,\,k')) \to H^i(X,\mathcal{J}(k,\,k')) \to H^i(Z,(\mathcal{J}/\mathcal{I})(k,\,k')).$$

Since dim Z = 0,  $H^i(Z, (\mathcal{J}/\mathcal{I})(k, k')) = 0$  for  $i \ge 1$  and for all k, k'. Since I is (p, p')-regular,  $H^1(X, \mathcal{I}(k, k')) = 0$  for  $(k, k') \in \text{Reg}_1(p, p')$  by Proposition 2.3. Thus, we have

(5) 
$$H^1(X, \mathcal{J}(k, k')) = 0, \ \forall (k, k') \in \text{Reg}_1(p, p'),$$

and,

$$H^i(X, \mathcal{J}(k, k')) = H^i(X, \mathcal{I}(k, k')), \ \forall i \ge 2.$$

Since I is (p, p')-regular,

(6) 
$$H^{i}(X, \mathcal{J}(k, k')) = H^{i}(X, \mathcal{I}(k, k')) = 0, \quad \forall i \geq 2, \quad \forall (k, k') \in \operatorname{Reg}_{i}(p, p'),$$

and combining Equations (6) and (5), we conclude that

$$H^i(X, \mathcal{J}(k, k')) = 0, \quad \forall i \ge 1, \quad (k, k') \in \operatorname{Reg}_i(p, p').$$

Since  $H_{\mathbf{m}}^{i+1}(J)_{k,k'} = H^{i}(\mathcal{J}(k,k'))$ , for all  $i \geq 1$ , it follows that

$$H_{\mathbf{m}}^{i}(J)_{k,k'} = 0, \quad \forall i \ge 2, \quad (k,k') \in \operatorname{Reg}_{i-1}(p,p'),$$

and hence, J is (p, p')-regular when e = 1.

Now assume that  $e \geq 2$ . The sheafification of J is  $\mathcal{I}^e$ , and  $H^0(X, \mathcal{I}^e(k, k')) = J_{k,k'}$ . Define  $Z^{(d)} = \mathbb{V}(I^d)$ , which has the same support as Z and is hence is finite. Since J is saturated, we have  $H_{\mathbf{m}}^{i}(J) = 0$  for i = 0, 1 (Lemma 2.5). Let (l, l') = ((e-1)r + p, (e-1)r' + p')). We must show that

$$H^i(X,\mathcal{I}^e(k,k')) = 0 \text{ for } (k,k') \in \operatorname{Reg}_i(l,l'), \text{ all } i \geq 1.$$

Tensor the following exact sequence

$$0 \ \longrightarrow \ \mathcal{I}^e \ \longrightarrow \ \mathcal{I}^{e-1} \ \longrightarrow \ \mathcal{I}^{e-1}/\mathcal{I}^e \ \longrightarrow \ 0.$$

with  $\mathcal{O}(k,k')$  and consider the resulting cohomology sequence. Since the support of  $\mathcal{I}^{e-1}/\mathcal{I}^e$  is contained in Z, which is 0-dimensional, it follows that  $H^i(X, (\mathcal{I}^{e-1}/\mathcal{I}^e)(k, k')) = 0$  for  $i \geq 1$ . Therefore,

$$H^{i}(X, \mathcal{I}^{e}(k, k')) = H^{i}(X, \mathcal{I}^{e-1}(k, k'))$$
 for all  $i \geq 2$ ,

and the latter group vanishes by induction for all

$$(k, k') \in \operatorname{Reg}_i((e-2)r + p, (e-2)r' + p') \supset \operatorname{Reg}_i((e-1)r + p, (e-1)r' + p').$$

Thus, we have the required vanishing for  $i \geq 2$ . Now look at the sequence

$$H^0(X,\mathcal{I}^{e-1}(k,k')) \stackrel{\phi}{-\!\!\!-\!\!\!-\!\!\!-\!\!\!-} H^0(X,(\mathcal{I}^{e-1}/\mathcal{I}^e)(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!-\!\!\!-\!\!\!-} H^1(X,\mathcal{I}^e(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!-} H^1(X,\mathcal{I}^{e-1}(k,k')) \stackrel{}{-\!\!\!\!-} H^$$

By induction, the last term vanishes for all  $(k, k') \in \text{Reg}_1(l, l')$ , so that the next-to-last term will vanish there provided we show that  $\phi$  is onto for those same (k, k').

Suppose  $Z = \{\mathbf{p}_1, \dots, \mathbf{p}_s\}$ . Note, since the support is finite, we have

$$H^0(X, (\mathcal{I}^{e-1}/\mathcal{I}^e)(k, k')) = \bigoplus_{\mathbf{p} \in Z} (I^{e-1}\mathcal{O}_{X, \mathbf{p}}/I^e \mathcal{O}_{X, \mathbf{p}})(k, k')$$

We will show that for  $(k, k') \in \text{Reg}_1(l, l')$  and for any

$$\left(\frac{u_1}{v_1}, \dots, \frac{u_s}{v_s}\right) \in \bigoplus_i (I^{e-1}\mathcal{O}_{X,\mathbf{p}_i}/I^e\mathcal{O}_{X,\mathbf{p}_i})(k,k')$$

with bihomogeneous forms with deg  $u_i$  – deg  $v_i = (k, k')$ ,  $u_i \in I^{e-1}$  we can find a bihomogeneous  $g \in (I^{e-1})_{k k'}^{\text{sat}}$  and forms  $H_i$  with  $H_i(\mathbf{p}_i) \neq 0$ , such that

(7) 
$$H_i(gv_i - u_i) \in I^e \text{ for all } i.$$

This will prove that  $\phi$  is surjective.

Let I be generated by bihomogeneous elements  $f_1, \ldots, f_r$  with bidegree  $(m_i, m'_i) \leq (r, r')$ . We can write

$$u_i = \sum a_{ij} f_j$$
, for some  $a_{ij} \in I_{k-m_j, k'-m'_j}^{e-2}$ 

Note that  $(\alpha, \alpha') = (k - m_j, k' - m'_j) \in \text{Reg}_1((e - 2)r + p, (e - 2)r' + p')$ , by our initial choice of (k, k'). Tensor the following exact sequence

$$0 \longrightarrow \mathcal{I}^{e-1} \longrightarrow \mathcal{I}^{e-2} \longrightarrow \mathcal{I}^{e-2}/\mathcal{I}^{e-1} \longrightarrow 0$$

with  $\mathcal{O}_X(\alpha, \alpha')$ , and consider the resulting cohomology sequence

$$H^{0}(X, \mathcal{I}^{e-2}(\alpha, \alpha')) \xrightarrow{\psi} H^{0}(X, (\mathcal{I}^{e-2}/\mathcal{I}^{e-1})(\alpha, \alpha'))$$
$$\longrightarrow H^{1}(X, \mathcal{I}^{e-1}(\alpha, \alpha')) \longrightarrow H^{1}(X, \mathcal{I}^{e-2}(\alpha, \alpha')).$$

By our induction hypothesis, the third term vanishes, so that  $\psi$  is onto for this  $(\alpha, \alpha')$ . This means that for every j, and each

$$\left(\frac{a_{1j}}{v_1}, \dots, \frac{a_{sj}}{v_s}\right) \in \bigoplus_i (I^{e-2}\mathcal{O}_{X,\mathbf{p}_i}/I^{e-1}\mathcal{O}_{X,\mathbf{p}_i})(k-m_j, k'-m'_j)$$

we can find a bihomogeneous  $g_j \in (I^{e-2})^{\text{sat}}_{\alpha,\alpha'}$  and forms  $H_{ij}$  with  $H_{ij}(\mathbf{p}_i) \neq 0$ , such that

(8) 
$$H_{ij}(g_j v_i - a_{ij}) \in I^{e-1} \text{ for all } i.$$

We may replace each  $H_{ij}$  by  $H_i = \prod_j H_{ij}$ . Multiply equation (8) by  $f_j$  and sum the result over j and define  $g = \sum g_j f_j \in (I^{e-1})_{k,k'}^{\text{sat}}$ . Then we have obtained equation (7), as required.

## 3. Finite Subschemes of $\mathbf{P}^1 \times \mathbf{P}^1$

This section will be devoted to a presentation of several results which can be proven for bihomogeneous ideals I that define finite subschemes of  $\mathbf{P}^1 \times \mathbf{P}^1$ , but that do not necessarily have immediate analogues for subschemes of general biprojective spaces. The results proved are analogous to the results proved in [2] for application to the implicitization problem for maps  $\phi: \mathbf{P}^2 \to \mathbf{P}^3$ . Our results will be similarly applied for the implicitization of maps  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$ . Since we are restricting ourselves to this low dimensional case, we will let R be the polynomial ring  $\mathbb{C}[s, u, t, v]$  in the variables s, u, t, and v, where, as usual, the bigrading of R is given by setting the bidegree of s and u to be (1, 0) and the bidegree of t and v to be (0, 1). If  $I = \langle f_1, \ldots, f_r \rangle \subset R$  is an ideal generated by forms all of the same bidegree (m, n) with  $m, n \geq 1$ , then there is a rational map  $\phi_I: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^{r-1}$  defined by

$$\phi_I = [f_1(s, u; t, v), \dots, f_r(s, u; t, v)].$$

Note that the polynomial ring  $\mathbb{C}[s, t, v]$  inherits a bigrading as a subring of  $R = \mathbb{C}[s, u, t, v]$ , so that a polynomial f(s, t, v) is bihomogeneous with bidegree (m, n) if and only if  $f(s, t, v) = \sum_{i=0}^{n} a_{ij} s^m t^i v^{n-j} = s^m g(t, v)$ , where g(t, v) is homogeneous of degree n.

**Lemma 3.1.** Let  $\bar{I} \subset S = \mathbb{C}[s, t, v]$  be an ideal, minimally generated by r bihomogeneous forms of bidegree (m, n). That is,  $\bar{I} = s^m J$  where  $J \subset \mathbb{C}[t, v]$  is generated by homogeneous polynomials of degree n. If  $\mathbb{V}(J) = \emptyset$  in  $\mathbf{P}^1$ , then  $\bar{I}$  is (p, p')-regular for all  $p \geq m$  and  $p' \geq 2n - r + 1$ .

*Proof.* This follows from [9, Remark 4.12] and Lemma B.1 in [2].

Remark 3.2. Similarly, let  $\bar{I} \subset S = \mathbb{C}[s,u,t]$  be an ideal, minimally generated by r bihomogeneous forms of bidegree (m,n). That is  $\bar{I}=t^nJ$  where J is generated by homogeneous polynomials in  $\mathbb{C}[s,u]$  of degree m. If  $\mathbb{V}(J)=\emptyset$  in  $\mathbf{P}^1$ , then  $\bar{I}$  is (p,p')-regular for all  $p\geq 2m-r+1$  and  $p'\geq n$ .

**Lemma 3.3.** Let  $I \subset R = \mathbb{C}[s, u, t, v]$  be minimally generated by  $r \geq 4$  bihomogeneous forms of bidegree (m, n) with both  $m, n \geq 1$ . Assume that  $\dim \operatorname{Im}(\phi_I) = 2$  and that  $\mathbb{V}(I) \subset \mathbf{P}^1 \times \mathbf{P}^1$  is finite. Given  $\ell \in R_{1,0}$ , let  $I_{\ell}$  be the image of I in the quotient ring  $R/\langle \ell \rangle$ . Then for a generic  $\ell$ ,  $I_{\ell}$  is minimally generated by at least 2 elements.

*Proof.* The proof is a straightforward modification of the Bertini theorem argument in [2, Lemma B.2]. See also [15, Lemma 3.4.3].

Remark 3.4. The above result is also true if the given generic element  $\ell$  is chosen from  $R_{0,1}$ .

The following is the main vanishing theorem needed for our applications.

**Theorem 3.5.** Let  $I \subset R = \mathbb{C}[s, u, t, v]$  be minimally generated by  $r \geq 4$  bihomogeneous forms of bidegree (m, n). Assume that  $\dim \operatorname{Im}(\phi_I) = 2$  and assume that  $\mathbb{V}(I) \subset \mathbf{P}^1 \times \mathbf{P}^1$  is finite. If  $\mathcal{I}$  is the associated sheaf of ideals on  $X = \mathbf{P}^1 \times \mathbf{P}^1$ , then

- 1.  $H^1(X, \mathcal{I}(k, k')) = 0$  for all  $(k, k') \geq (2m 2, 2n 2)$ , and
- 2.  $H^2(X, \mathcal{I}(k, k')) = 0$  for all (k, k') > (0, 0).

*Proof.* If  $Z = \mathbb{V}(I) \subset X = \mathbf{P}^1 \times \mathbf{P}^1$ , there is an exact sequence

$$0 \to \mathcal{I} \to \mathcal{O}_X \to \mathcal{O}_Z \to 0$$
,

which, upon taking the tensor product with  $\mathcal{O}_X(k,k')$ , gives rise to a long exact cohomology sequence

$$\to H^1(X, \mathcal{O}_Z(k, k')) \to H^2(X, \mathcal{I}(k, k')) \to H^2(X, \mathcal{O}_X(k, k')) \to H^2(X, \mathcal{O}_Z(k, k')) \to .$$

Since Z is finite,  $H^i(X, \mathcal{O}_Z(k, k')) = 0$  for all  $i \geq 1$ . By the Künneth formula [14],

$$H^2(X, \mathcal{I}(k, k')) = H^2(X, \mathcal{O}_X(k, k')) = 0$$
, for all  $(k, k') \ge (0, 0)$ .

This proves item 2.

To prove the first statement, choose a line  $\ell \in R_{1,0}$  such that  $\mathbb{V}(\ell) \cap \mathbb{V}(I) = \emptyset$  and  $\bar{I} = I_{\ell} = \mathbb{V}(I) = \mathbb{V}(I)$  the image of I in  $R/\langle \ell \rangle$  is minimally generated by at least two elements. This is possible by Lemma 3.3. Then by Lemma 3.1, we know that  $\bar{I}$  is (p, p')-regular for  $p \geq m$  and  $p' \geq 2n - 1$ . If  $\bar{I}$  is the sheaf on  $\mathbb{V}(\ell) \cong \mathbf{P}^1$  associated to  $\bar{I}$ , then by Proposition 2.3, we have

(9) 
$$\bar{I}_{k,k'} \cong H^0(\mathbb{V}(\ell), \bar{\mathcal{I}}(k,k')) \quad \text{for all } (k,k') \ge (m, 2n-1), \text{ and } H^1(\mathbb{V}(\ell), \bar{\mathcal{I}}(k,k')) = 0 \quad \text{for all } (k,k') \ge (m-1, 2n-2).$$

Now, we consider the following exact sequence:

$$0 \to \mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1}(-1,0) \to \mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1} \to \mathcal{O}_{\mathbb{V}(\ell)} \cong \mathcal{O}_{\mathbf{P}^1} \to 0.$$

Tensoring with  $\mathcal{I}(k, k')$  gives the exact sequence:

$$Tor_1^{\mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1}} (\mathcal{I}(k, k'), \mathcal{O}_{\mathbf{P}^1}) \to \mathcal{I}(k-1, k') \to \mathcal{I}(k, k') \to \mathcal{O}_{\mathbf{P}^1} \otimes_{\mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1}} \mathcal{I}(k, k') \to 0.$$

Note  $\mathcal{O}_{\mathbf{P}^1} \otimes_{\mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1}} \mathcal{I}(k,k') \cong \bar{\mathcal{I}}(k,k')$ . Since  $\mathcal{O}_{\mathbf{P}^1}$  is supported on  $\mathbb{V}(\ell)$ , the Tor-sheaf is supported there. Also, for  $p \notin \mathbb{V}(I)$ , the sheaf  $\mathcal{I}(k,k')$  is locally free. Hence the Tor-sheaf vanishes at p if  $p \notin \mathbb{V}(I)$ . Hence the support of the Tor-sheaf is contained in  $\mathbb{V}(I) \cap V(\ell)$ . By the generic choice of  $\ell$ ,  $\mathbb{V}(I) \cap \mathbb{V}(\ell) = \emptyset$ , so the Tor-sheaf vanishes. Thus there is exact sheaf sequence

$$0 \to \mathcal{I}(k-1,k') \to \mathcal{I}(k,k') \to \bar{\mathcal{I}}(k,k') \to 0.$$

that gives the following commutative diagram

$$I_{k,k'} \longrightarrow I_{k,k'} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$H^{0}(X, \mathcal{I}(k,k')) \stackrel{\alpha}{\longrightarrow} H^{0}(\mathbb{V}(\ell), \bar{\mathcal{I}}(k,k')) \stackrel{\beta}{\longrightarrow} H^{1}(X, \mathcal{I}(k-1,k'))$$

$$\longrightarrow H^{1}(X, \mathcal{I}(k,k')) \longrightarrow H^{1}(\mathbb{V}(\ell), \bar{\mathcal{I}}(k,k'))$$

with exact rows. If  $(k, k') \ge (m, 2n - 1)$ , Equation (9) shows that  $H^1(\mathbb{V}(\ell), \bar{\mathcal{I}}(k, k')) = 0$  and  $\bar{I}_{k,k'} \cong H^0(\mathbb{V}(\ell), \bar{\mathcal{I}}(k, k'))$ . Therefore,  $\alpha$  is onto, and  $\beta$  is zero, which implies that there is an isomorphism

$$H^1(X, \mathcal{I}(k-1, k')) \cong H^1(X, \mathcal{I}(k, k')), \text{ for all } (k, k') \ge (m, 2n-1).$$

An analogous argument with a generic line  $\ell \in R_{0,1}$  produces another isomorphism

$$H^1(X, \mathcal{I}(k, k'-1)) \cong H^1(X, \mathcal{I}(k, k')), \text{ for all } (k, k') \ge (2m-1, n).$$

Therefore,

$$H^1(X, \mathcal{I}(k-1, k'-1)) \cong H^1(X, \mathcal{I}(k, k')), \text{ for all } (k, k') \geq (2m-1, 2n-1).$$

Since 
$$H^1(X, \mathcal{I}(m, n)) = 0$$
 if  $(m, n) \gg (0, 0)$ , we conclude that  $H^1(X, \mathcal{I}(k, k')) = 0$  for all  $(k, k') \geq (2m - 2, 2n - 2)$ .

We are now able to prove the following result relating regularity of the ideal I and the degree of the 0-dimensional subscheme  $\mathbb{V}(I)$ . This is one of the main results needed for the application to the implicitization problem.

**Theorem 3.6.** Let  $I \subset R$  be minimally generated by  $r \geq 4$  bihomogeneous forms of bidegree (m,n) with  $m,n \geq 1$ . Assume that  $\mathbb{V}(I) \subset X = \mathbf{P}^1 \times \mathbf{P}^1$  is finite and  $\dim \mathrm{Im}(\phi_I) = 2$ . If  $(p,p') \geq (2m-1,2n-1)$ , then I is (p,p')-regular if and only if  $\dim_{\mathbb{C}}(R/I)_{p,p'} = \deg(\mathbb{V}(I))$ , where  $\deg(\mathbb{V}(I))$  denotes the degree of the 0-dimensional subscheme  $\mathbb{V}(I)$ .

*Proof.* When  $p \ge 2m-1$  and  $p' \ge 2n-1$ , Theorem 3.5 implies  $H^1(X, \mathcal{I}(p, p')) = 0$ . Thus, the exact sheaf sequence

$$0 \to \mathcal{I} \to \mathcal{O}_{\mathbf{P}^1 \times \mathbf{P}^1} \to \mathcal{O}_Z \to 0$$

produces an exact sequence

$$0 \to H^0(X,\, \mathcal{I}(p,p')) \to H^0(X,\, \mathcal{O}_X(p,p')) \to H^0(Z,\, \mathcal{O}_Z(p,p')) \to 0.$$

This gives the following commutative diagram with exact rows:

We have  $R_{p,p'} = H^0(X, \mathcal{O}_X(p,p'))$  and if I is (p,p')-regular, then  $I_{p,p'} = H^0(X, \mathcal{I}(p,p'))$ . The 5-lemma then shows that  $(R/I)_{p,p'} = H^0(Z, \mathcal{O}_Z(p,p'))$ , so that

$$\dim_{\mathbb{C}}(R/I)_{p,p'} = \dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z(p, p')).$$

But

$$\dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z) = \deg(Z),$$

and since

$$\dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z) = \dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z(p, p'))$$

when Z is finite, we conclude that

$$\dim_{\mathbb{C}}(R/I)_{p,p'} = \deg(Z).$$

Conversely, suppose  $\dim_{\mathbb{C}}(R/I)_{p,p'} = \deg(Z)$ . Since  $H^2(X, \mathcal{I}(k,k')) = 0$  for all  $k, k' \geq 0$  by Theorem 3.5, it follows from Proposition 2.3 that to show I is (p, p')-regular, we only need to prove that

$$I_{p,p'} \cong H^0(X, \mathcal{I}(p,p')), \text{ and } H^1(X, \mathcal{I}(p-1,p'-1)) = 0.$$

If  $p \ge 2m-1$  and  $p' \ge 2n-1$ , then  $H^1(X, \mathcal{I}(p-1, p'-1)) = 0$  by Theorem 3.5. We know that the natural map  $I_{p,p'} \to H^0(X, \mathcal{I}(p,p'))$  is injective, so it is enough to show that

$$\dim_{\mathbb{C}} I_{p,p'} = \dim_{\mathbb{C}} H^0(X, \mathcal{I}(p, p')).$$

From the exact sequence

$$0 \to H^0(X, \mathcal{I}(p, p')) \to R_{p,p'} \to H^0(Z, \mathcal{O}_Z(p, p')) \to 0,$$

we conclude that

$$\dim_{\mathbb{C}} H^0(X, \mathcal{I}(p, p')) = \dim_{\mathbb{C}} R_{p, p'} - \dim_{\mathbb{C}} H^0(Z, \mathcal{O}_Z(p, p'))$$

$$= \dim_{\mathbb{C}} R_{p,p'} - \deg(Z) = \dim_{\mathbb{C}} R_{p,p'} - \dim_{\mathbb{C}} (R/I)_{p,p'} = \dim_{\mathbb{C}} I_{p,p'}.$$

Thus  $I_{p,p'} \cong H^0(X, \mathcal{I}_{p,p'})$  and I is (p, p')-regular.

Corollary 3.7. Under the hypotheses of Theorem 3.6, if I is (p, p')-regular, then  $\dim_{\mathbb{C}}(R/I)_{k,k'} = \deg(\mathbb{V}(I))$  for all  $(k, k') \geq (p, p')$ .

*Proof.* If I is 
$$(p, p')$$
-regular, then I is  $(k, k')$ -regular for all  $(k, k') \ge (p, p')$ .

Example 3.8. If  $I = \langle u^2t^2v, u^2t^3 + suv^3, s^2tv^2, s^2v^3 + s^2t^3 \rangle \subset \mathbb{C}[s, u, t, v]$ , then  $\mathbb{V}(I) = (0, 1; 0, 1) \in \mathbf{P}^1 \times \mathbf{P}^1$ . In this case, each generator of I has bidegree (m, n) = (2, 3) and (2m-1, 2n-1) = (3, 5). A computation with Singular [7] shows  $\dim_{\mathbb{C}}(R/I)_{3,5} = \deg \mathbb{V}(I) = 2$ . Therefore, I is (3, 5)-regular by Theorem 3.6.

We will conclude this section with a brief description of a result on syzygies that will be needed in the proof of our implicitization theorem.

**Definition 3.9.** Let  $I = \langle r_1, \ldots, r_n \rangle \subseteq R$  be an ideal generated by bihomogeneous elements of R. In analogy with the case of a rational map, we will say that  $\mathbb{V}(I)$  is the *base point scheme* of I.

1. The syzygy submodule of I is the submodule of relations among the  $r_i$   $(1 \le i \le n)$  defined by

Syz 
$$(r_1, \ldots, r_n) = \{(a_1, \ldots, a_n) \in \mathbb{R}^n : a_1 r_1 + \cdots + a_n r_n = 0\}$$
.

- 2. A syzygy  $(a_1, \ldots, a_n) \in \text{Syz}(r_1, \ldots, r_n)$  vanishes at the base points of I if, for each i,  $a_i \in I^{\text{sat}}$ .
- 3. A syzygy  $(a_1, \ldots, a_n) \in \text{Syz}(r_1, \ldots, r_n)$  has bidegree (k, l) provided each  $a_i$  has bidegree (k, l).

4. If  $\mathbf{e}_i \in \mathbb{R}^n$  denotes the standard basis vector with a 1 in the  $i^{\text{th}}$  position and 0 elsewhere, then a basic Koszul syzygy for the ideal I is one of the form

$$\mathbf{s}_{ij} = r_j \mathbf{e}_i - r_i \mathbf{e}_j, \quad \text{for } i < j.$$

Since  $(r_j)r_i + (-r_i)r_j = 0$  for  $i \neq j$ , it is clear that  $\mathbf{s}_{ij} \in \operatorname{Syz}(r_1, \ldots, r_n)$ . Let Kos  $(r_1, \ldots, r_n) \subset \operatorname{Syz}(r_1, \ldots, r_n)$  be the submodule generated by the basic Koszul syzygies. We refer to an arbitrary element of Kos  $(r_1, \ldots, r_n)$  as a Koszul syzygy.

The following result is the fundamental result relating the Koszul syzygies of the ideal I and the module of syzygies of I which vanish at the base points of I in the special situation that we will need for this paper.

**Theorem 3.10.** Let  $a_0$ ,  $a_1$  and  $a_2 \in R$  be bihomogeneous polynomials of bidegree (m, n) and suppose that  $\mathbb{V}(a_0, a_1, a_2) \subset \mathbf{P}^1 \times \mathbf{P}^1$  is finite, each base point  $\mathbf{p} \in \mathbb{V}(a_0, a_1, a_2)$  is a local complete intersection, and that  $(A_0, A_1, A_2) \in \operatorname{Syz}(a_0, a_1, a_2)$  is a syzygy of bidegree (k, l), where  $(k - 2m + 1)(l - 2n + 1) \geq 0$ . Then  $(A_0, A_1, A_2)$  vanishes on the base points of  $I = \langle a_0, a_1, a_2 \rangle$ , if and only if  $(A_0, A_1, A_2) \in \operatorname{Kos}(a_0, a_1, a_2)$ , which means that there are  $h_1$ ,  $h_2$ ,  $h_3$  of bidegree (k - m, l - n) such that

$$A_0 = h_1 a_2 + h_2 a_1$$

$$A_1 = -h_2 a_0 + h_3 a_2$$

$$A_2 = -h_1 a_0 - h_3 a_1.$$

*Proof.* This result follows from Corollary 3.15 of [10]. See Remark 3.16 in that paper.  $\Box$ 

To say that I is a local complete intersection means that each local ring  $\mathcal{I}_{\mathbf{p}}$  of the associated sheaf of ideals  $\mathcal{I}$  is a complete intersection ideal. Precisely, if I is an ideal of R generated by bihomogeneous forms, and  $Z = \mathbb{V}(I) \subset \mathbf{P}^1 \times \mathbf{P}^1$  is a finite set, then we say that a base point  $\mathbf{p} \in Z$  is a local complete intersection (LCI) if the local ring  $\mathcal{I}_{\mathbf{p}} \subset \mathcal{O}_{X,\mathbf{p}}$  is a complete intersection ideal, i.e.,  $\mathcal{I}_{\mathbf{p}}$  is generated by two elements. The ideal I is a local complete intersection provided each base point  $\mathbf{p} \in Z$  is a local complete intersection.

4. Local complete intersection base points of total multiplicity  $k \leq mn$ 

In this section, we will extend the method of moving quadrics to the case where multiple base points are present. Throughout this section,  $\phi$  will be a map  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  given by  $\phi(s, u; t, v) = [a_0, a_1, a_2, a_3]$  where each  $a_i \in R$  is a bihomogeneous polynomial of bidegree (m, n), and  $I = \langle a_0, a_1, a_2, a_3 \rangle$ . For convenience of reference, we will list some conditions on  $\phi$  related to base points. Some of these conditions will be needed in each of the results of this paper, and they will be referred to by number (B1 – B6) as needed.

- B1: The polynomials  $a_i(s, u, t, v)$   $(0 \le i \le 3)$  are bihomogeneous of bidegree m, n and are linearly independent over  $\mathbb{C}$ .
- B2: The base point scheme  $\mathbb{V}(I)$  consists of a finite number of base points with total multiplicity  $k \leq mn$ .
- B3: Each base point  $\mathbf{p} \in \mathbb{V}(I)$  is a LCI.
- B4:  $\dim_{\mathbb{C}}(R/I)_{2m-1, 2n-1} = \deg(V(I)).$
- B5: The base point scheme  $\mathbb{V}(I) = \mathbb{V}(a_0, a_1, a_2)$  and  $a_3 \in \operatorname{sat}\langle a_0, a_1, a_2 \rangle$ .
- B6:  $\dim_{\mathbb{C}} \text{Syz} (a_0, a_1, a_2)_{m-1, n-1} = 0.$

Remark 4.1. Some remarks concerning these conditions:

1. The condition B1 simply says that  $S = \text{Im}(\phi)$  is not contained in any plane in  $\mathbf{P}^3$ .

2. The finiteness of  $\mathbb{V}(I)$  in condition B2 is equivalent to  $\gcd(a_0, a_1, a_2, a_3) = 1$ , while  $k \leq mn$  is equivalent to the degree inequality  $\deg S \deg \phi \geq mn$ . The last equivalence is a consequence of the degree formula

$$2mn = \deg \phi \deg S + \sum_{p \in \mathbb{V}(I)} e(I, \mathbf{p}),$$

which is similar to [5, Page 19]. For a proof, see [15, Theorem 4.2.12]. In this formula,  $e(I, \mathbf{p})$  is the multiplicity of the local ring  $\mathcal{I}_{\mathbf{p}}$ .

- 3. The above degree formula for the image of the parametrization involves the sum of the multiplicities of the base points. This equals  $\deg(\mathbb{V}(I))$  only when  $\mathbb{V}(I)$  is a local complete intersection. Hence the need for the condition B3.
- 4. Condition B4 is necessary to be able to apply the regularity condition on I given by Theorem 3.6.
- 5. Conditions B5 and B6 are technical conditions which are needed to be able to apply Theorem 3.10.

**Lemma 4.2.** Suppose  $a_0$ ,  $a_1$ ,  $a_2$ ,  $a_3 \in \mathbb{C}[s, u, t, v]$  are bihomogeneous of bidegree m, n with no common factor, and  $\mathbb{V}(a_0, a_1, a_2, a_3)$  is a local complete intersection. If we replace  $\{a_i\}_{i=0}^2$  with generic linear combinations of  $\{a_i\}_{i=0}^3$ , then we have  $\mathbb{V}(a_0, a_1, a_2) = \mathbb{V}(a_0, a_1, a_2, a_3)$  as subschemes of  $\mathbf{P}^1 \times \mathbf{P}^1$ , and  $a_3 \in \operatorname{sat}\langle a_0, a_1, a_2 \rangle$ .

*Proof.* The result is proved in [2, Theorem A.1, Corollary A.2] for the case of homogeneous polynomials in k[x, y, z], but the argument works verbatim in the case of bihomogeneous polynomials.

Remark 4.3. A consequence of Lemma 4.2 is that if the parametrization  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  satisfies conditions B1 – B4, then after a generic linear change of coordinates T of  $\mathbf{P}^3$ , the resulting parametrization  $T \circ \phi$  will satisfy B1 – B5. That is, if we allow generic linear changes of coordinates of the image space, then B1 – B4 still hold and B5 is a consequence of B1 – B4.

Recall that MP denotes both the map

$$MP: R_{m-1, n-1}^4 \xrightarrow{[a_0 \ a_1 \ a_2 \ a_3]} R_{2m-1, 2n-1}$$

given by

$$(A_0, A_1, A_2, A_3) \mapsto \sum_{i=0}^{3} A_i a_i,$$

and the  $4mn \times 4mn$  matrix which represents this map in the standard monomial bases on  $R^4_{m-1, n-1}$  and  $R_{2m-1, 2n-1}$ . If we replace  $\{a_i\}_{i=0}^3$  by  $\{a_i'\}_{i=0}^3$  where each  $a_i'$  is a generic linear combinations of  $\{a_i\}_{i=0}^3$ , then the rank of the coefficient matrix MP will not change. Thus, the number of linearly independent moving planes is also not affected by a generic linear change of coordinates in the image space  $\mathbf{P}^3$ .

Let

$$MC: R^3_{m-1, n-1} \xrightarrow{[a_0 \ a_1 \ a_2]} R_{2m-1, 2n-1}$$

be the map given by

$$(A_0, A_1, A_2) \mapsto \sum_{i=0}^{2} A_i a_i.$$

MC is represented by a matrix, also denoted MC of size  $4mn \times 3mn$ , and  $Ker(MC) = Syz(a_0, a_1, a_2)$ . Thus

$$\dim_{\mathbb{C}} \operatorname{Syz} (a_0, a_1, a_2)_{m-1, n-1} = \dim_{\mathbb{C}} \operatorname{Ker} (MC),$$

and the following fact is clear.

**Lemma 4.4.** If  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  then MC has maximal rank (= 3mn) if and only if  $\phi$  satisfies condition B6.

We start our analysis with the following lemma, which indicates that base points of total multiplicity k produce exactly k linearly independent moving planes of bidegree (m-1, n-1).

**Lemma 4.5.** If  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  satisfies the base point conditions B1 - B4, then

$$\dim_{\mathbb{C}} \text{Syz} (I)_{m-1, n-1} = k.$$

*Proof.* Consider the following exact sequence:

$$0 \to \mathrm{Syz}\; (I)_{m-1,\,n-1} \to R^4_{m-1,\,n-1} \quad \xrightarrow{[a_0\; a_1\; a_2\; a_2\; a_3]} \; R_{2m-1,\,2n-1} \to (R/I)_{2m-1,\,2n-1} \to 0.$$

We have

$$\dim_{\mathbb{C}} \operatorname{Syz}(I)_{m-1, n-1} = \dim_{\mathbb{C}} (R/I)_{2m-1, 2n-1} - \dim_{\mathbb{C}} R_{2m-1, 2n-1} + 4 \dim_{\mathbb{C}} R_{m-1, n-1}$$
  
=  $\dim_{\mathbb{C}} (R/I)_{2m-1, 2n-1}$ .

Since at each base point,  $\mathbb{V}(I)$  is a local complete intersection, we have  $\sum_{\mathbf{p} \in \mathbb{V}(I)} e(I, \mathbf{p}) = \deg(\mathbb{V}(I)) = k$ . Thus,  $\dim_{\mathbb{C}}(R/I)_{2m-1, 2n-1} = \deg(\mathbb{V}(I)) = k$ , and hence

$$\dim_{\mathbb{C}} \operatorname{Syz} (I)_{m-1, n-1} = k.$$

Remark 4.6. Under the hypotheses of Lemma 4.5, the condition  $\dim_{\mathbb{C}} \operatorname{Syz}(I)_{m-1, n-1} = k$  means that there are exactly k linearly independent moving planes of bidegree (m-1, n-1) which follow the parametrization  $\phi$ .

Our next goal is to prove that, under suitable conditions on the base point scheme  $\mathbb{V}(I)$ ,

$$\dim_{\mathbb{C}} \text{Syz } (I^2)_{m-1, n-1} = mn + 3k.$$

We will start by proving the following two lemmas.

**Lemma 4.7.** If  $\phi : \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  satisfies the conditions B1 - B4, and  $I = \langle a_0, a_1, a_2, a_3 \rangle$  as usual, then  $\operatorname{sat}(I^2)$  is (3m-1, 3n-1)-regular.

*Proof.* Consider the following exact sequence:

$$0 \to \mathrm{Syz} \; (I^2)_{m-1, \, n-1} \to R^{10}_{m-1, \, n-1} \; \xrightarrow{[a_0^2 \, \cdots \, a_3^2]} \; R_{3m-1, \, 3n-1} \to (R/I^2)_{3m-1, \, 3n-1} \to 0.$$

This implies that

$$\dim_{\mathbb{C}} \operatorname{Syz} (I^{2})_{m-1, n-1} = \dim_{\mathbb{C}} (R/I^{2})_{3m-1, 3n-1} - \dim_{\mathbb{C}} R_{3m-1, 3n-1} + 10 \dim_{\mathbb{C}} R_{m-1, n-1}$$

$$= \dim_{\mathbb{C}} (R/I^{2})_{3m-1, 3n-1} + mn.$$

Conditions B2, B3, and B4 show that  $\dim_{\mathbb{C}}(R/I)_{2m-1, 2n-1} = \deg(\mathbb{V}(I)) = k$ , and this implies that I is (2m-1, 2n-1)-regular by Theorem 3.6. Since  $\mathbb{V}(I)$  is finite, Theorem 2.10 shows that  $\operatorname{sat}(I^2)$  is ((2-1)(2m-1)+m, (2-1)(2n-1)+n) = (3m-1, 3n-1)-regular, as claimed.

For the second lemma, we will need the following result of Herzog [8, Folgerung 2.2 and 2.4]:

**Proposition 4.8.** Let  $\mathcal{O}_{\mathbf{p}}$  be the local ring of a point  $\mathbf{p} \in \mathbf{P}^1 \times \mathbf{P}^1$ , and let  $\mathcal{I}_{\mathbf{p}} \subseteq \mathcal{O}_{\mathbf{p}}$  be a codimension two ideal. Then

(11) 
$$\dim_{\mathbb{C}} \mathcal{I}_{\mathbf{p}} / \mathcal{I}_{\mathbf{p}}^{2} \ge 2 \dim_{\mathbb{C}} \mathcal{O}_{\mathbf{p}} / \mathcal{I}_{\mathbf{p}},$$

and equality holds if and only if  $\mathcal{I}_{\mathbf{p}}$  is a complete intersection ideal in  $\mathcal{O}_{\mathbf{p}}$ .

**Lemma 4.9.** If  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  satisfies the conditions B1 – B4, then

$$\dim_{\mathbb{C}} \operatorname{Syz} (I^2)_{m-1, n-1} \ge mn + 3k.$$

*Proof.* The exact sequence

$$0 \to (I/I^2)_{r,\,r'} \to (R/I^2)_{r,\,r'} \to (R/I)_{r,\,r'} \to 0$$

shows that  $\dim_{\mathbb{C}}(R/I^2)_{r,r'} = \dim_{\mathbb{C}}(R/I)_{r,r'} + \dim_{\mathbb{C}}(I/I^2)_{r,r'}$ , for all r, r'. By condition B4  $\dim_{\mathbb{C}}(R/I)_{2m-1, 2n-1} = \deg(\mathbb{V}(I)) = k$ , and since  $\dim_{\mathbb{C}}(R/I)_{k,k'} \leq \dim_{\mathbb{C}}(R/I)_{l,l'}$  whenever  $(k, k') \leq (l, l')$ , it follows that  $\dim_{\mathbb{C}}(R/I)_{r,r'} = k$  for  $r \geq 2m-1, r' \geq 2n-1$ . Hence,

$$\dim_{\mathbb{C}}(R/I^2)_{r,r'} = k + \dim_{\mathbb{C}}(I/I^2)_{r,r'}$$
 for  $r \ge 2m - 1$ ,  $r' \ge 2n - 1$ .

For  $r, r' \gg 0$ ,  $\dim_{\mathbb{C}}(I/I^2)_{r,r'} = P_{I/I^2}(r, r')$  where  $P_{I/I^2}(r, r')$  is the bigraded Hilbert polynomial of  $I/I^2$ .

If  $\mathcal{I}$  is the sheaf of ideals associated to I, then  $\mathcal{I}/\mathcal{I}^2$  has zero dimensional support since  $\mathbb{V}(I)$  is finite. Therefore, letting  $X = \mathbf{P}^1 \times \mathbf{P}^1$ ,

$$H^0(X, \mathcal{I}/\mathcal{I}^2) = \bigoplus_{\mathbf{p} \in \mathbb{V}(I)} \mathcal{I}_{\mathbf{p}}/\mathcal{I}_{\mathbf{p}}^2 \quad \text{and} \quad H^0(X, \mathcal{I}/\mathcal{I}^2(r, r')) = \bigoplus_{\mathbf{p} \in \mathbb{V}(I)} \left(\mathcal{I}_{\mathbf{p}}/\mathcal{I}_{\mathbf{p}}^2\right) \otimes \mathcal{O}_{\mathbf{p}}(r, r'),$$

for all r, r' and hence

$$\dim_{\mathbb{C}} H^0(X, \mathcal{I}/\mathcal{I}^2) = \dim_{\mathbb{C}} H^0(X, \mathcal{I}/\mathcal{I}^2(r, r')) \quad \text{for all } r, r',$$

while  $H^0(X, \mathcal{I}/\mathcal{I}^2(r, r')) = (I/I^2)_{r, r'}$  for all  $r, r' \gg 0$  by [11, Theorem 1.6]. Therefore, for all  $r, r' \gg 0$  we have

$$P_{I/I^{2}}(r, r') = \dim_{\mathbb{C}}(I/I^{2})_{r, r'}$$

$$= \dim_{\mathbb{C}} H^{0}(X, \mathcal{I}/\mathcal{I}^{2}(r, r'))$$

$$= \dim_{\mathbb{C}} H^{0}(X, \mathcal{I}/\mathcal{I}^{2})$$

$$= \sum_{\mathbf{p} \in \mathbb{V}(I)} \dim_{\mathbb{C}} \mathcal{I}_{\mathbf{p}}/\mathcal{I}_{\mathbf{p}}^{2}.$$

Since each base point  $\mathbf{p} \in \mathbb{V}(I)$  is a local complete intersection by condition B3, Proposition 4.8 shows that

(12) 
$$\sum_{\mathbf{p} \in \mathbb{V}(I)} \dim_{\mathbb{C}} \mathcal{I}_{\mathbf{p}} / \mathcal{I}_{\mathbf{p}}^2 = 2 \sum_{\mathbf{p} \in \mathbb{V}(I)} \dim_{\mathbb{C}} \mathcal{O}_{\mathbf{p}} / \mathcal{I}_{\mathbf{p}} = 2 \deg(\mathbb{V}(I)) = 2k,$$

and hence, for  $r, r' \gg 0$ ,

(13) 
$$\dim_{\mathbb{C}}(R/I^2)_{r,r'} = \dim_{\mathbb{C}}(R/I)_{r,r'} + \dim_{\mathbb{C}}(I/I^2)_{r,r'} = k + 2\sum_{p \in \mathbb{V}(I)} \dim_{\mathbb{C}} \mathcal{O}_p/\mathcal{I}_p = 3k.$$

Since  $P_{M^{\text{sat}}}(r, r') = P_{M}(r, r')$  for any finitely generated bihomogeneous R-module M, it follows that

$$\dim_{\mathbb{C}}(R/I^2)_{r,\,r'}=\dim_{\mathbb{C}}(R/\mathrm{sat}(I^2))_{r,\,r'},$$

for  $r, r' \gg 0$ . This fact, combined with Equation (13), the fact that  $\operatorname{sat}(I^2)$  is (3m-1, 3n-1)-regular (Lemma 4.7), and Lemma 3.7 shows that

$$\dim_{\mathbb{C}}(R/\operatorname{sat}(I^2))_{3m-1, 3n-1} = 3k.$$

Since  $I^2 \subset \operatorname{sat}(I^2)$ , we have  $\dim_{\mathbb{C}}(R/I^2)_{3m-1, 3n-1} \geq \dim_{\mathbb{C}}(R/\operatorname{sat}(I^2))_{3m-1, 3n-1} = 3k$ . Therefore, Equation (10) becomes

$$\dim_{\mathbb{C}} \text{Syz } (I^2)_{m-1, n-1} = mn + \dim_{\mathbb{C}} (R/I^2)_{3m-1, 3n-1} \ge mn + 3k.$$

Remark 4.10. Under the hypothesis of Lemma 4.9, the condition

$$\dim_{\mathbb{C}} \operatorname{Syz} (I^2)_{m-1, n-1} \ge mn + 3k$$

means that there are at least mn + 3k linearly independent moving quadrics of bidegree (m - 1, n - 1) which follow the parametrization  $\phi$ .

The construction of the matrix M whose determinant is the implicit equation of  $S = \text{Im}(\phi)$  requires a careful choice of basis of the vector space of moving quadrics, which is facilitated by the following elementary linear algebra lemma. We will first establish the notation.

Let the vector space  $V = V_1 \oplus V_2$  be the direct sum of two subspaces  $V_1$  and  $V_2$ , and let  $W \subset V$  be a subspace such that  $V_1 \cap W = \{0\}$ . Then the projection  $\pi : V \to V_2$  along  $V_1$  satisfies  $\operatorname{Ker}(\pi) = V_1$ , and  $\operatorname{Ker}(\pi)|_W = W \cap V_1 = \{0\}$ . In particular,  $\pi|_W$  is injective, so that  $\dim_{\mathbb{C}} W = \dim_{\mathbb{C}} \pi(W) := k$ . Let  $\mathcal{B} = \{v_1, \ldots, v_l\}$  be a given basis of  $V_2$ .

**Lemma 4.11.** There is a subset  $\mathcal{B}_1 = \{v_{h_1}, \ldots, v_{h_k}\} \subset \mathcal{B}$  and a basis  $\mathcal{C} = \{w_1, \ldots, w_k\}$  of W such that

$$\pi(w_e) = v_{h_e} + \overline{w}_e, \quad where \ \overline{w}_e \in \mathrm{Span} \ (\mathcal{B} \setminus \mathcal{B}_1).$$

*Proof.* Let  $\{\widetilde{w}_1, \ldots, \widetilde{w}_k\}$  be an arbitrary basis of W. Then

$$\pi(\widetilde{w}_i) = \sum_{j=1}^l a_{ij} v_j.$$

Let  $A = [a_{ij}]$ . Then multiply A on the left by an invertible matrix P so that PA = Q, where Q is in reduced row echelon form. Since A is a  $k \times l$  matrix which has Rank A = k (because  $\dim_{\mathbb{C}} \pi(W) = k$ ), there are k columns  $h_1 < h_2 < \cdots < h_k$  which contain a leading 1 in rows 1 to k, respectively. Let  $\mathcal{B}_1 = \{v_{h_1}, \ldots, v_{h_k}\}$ . Let the basis  $\mathcal{C} = \{w_1, \ldots, w_k\}$  be defined by

$$\begin{bmatrix} w_1 \\ \vdots \\ w_k \end{bmatrix} = P \begin{bmatrix} \widetilde{w}_1 \\ \vdots \\ \widetilde{w}_k \end{bmatrix},$$

i.e.,  $w_e = \sum_{j=1}^k p_{ej} \widetilde{w}_j$ . Then

$$\pi(w_e) = \sum_{j=1}^k p_{ej} \pi(\widetilde{w}_j)$$

$$= \sum_{j=1}^k p_{ej} \sum_{r=1}^l a_{jr} v_r$$

$$= \operatorname{Row}_e Q \begin{bmatrix} v_1 \\ \vdots \\ v_l \end{bmatrix}$$

$$= v_{h_e} + \overline{w}_e$$

where  $\overline{w}_e \in \text{Span } (\mathcal{B} \setminus \mathcal{B}_1)$ .

If  $P = \sum_{i=0}^{3} A_i(s, u, t, v) x_i \in R[x_1, x_2, x_3, x_4]$  is any moving plane, and  $L(x_0, x_1, x_2, x_3)$  is any homogeneous linear polynomial. Then  $P \cdot L$  is a moving quadric. Moreover, if P follows  $\phi$ , then  $P \cdot L$  also follows  $\phi$ . If P is a set of moving planes, then  $P \cdot L := \{P \cdot L : P \in P\}$ . Let  $\mathcal{P}_{\phi, m-1, n-1}$  be the set of moving planes of bidegree m-1, n-1 which follow  $\phi$ , i.e.,  $(A_0, A_1, A_2, A_3)_{m-1, n-1} \in \operatorname{Syz}(a_0, a_1, a_2, a_3)_{m-1, n-1}$ .

**Lemma 4.12.** Let  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$ , and assume that  $\phi$  satisfies condition B6, so that Syz  $(a_0, a_1, a_2)_{m-1, n-1} = \{0\}$ . Let  $S = \mathcal{P}_{\phi, m-1, n-1}$ , and let  $\dim_{\mathbb{C}} S = k$ . Then  $Q = \sum_{i=0}^{3} Sx_i$ is a vector space of moving quadrics which follow  $\phi$ , with dim<sub>C</sub> Q = 4k.

*Proof.* We will apply Lemma 4.11 with the following identifications:

- $V = \sum_{i=0}^{3} (R_{m-1, n-1}) x_i \cong R_{m-1, n-1}^4,$   $V_1 = \sum_{i=0}^{2} (R_{m-1, n-1}) x_i \cong R_{m-1, n-1}^3,$
- $V_2 = (R_{m-1, n-1})x_3 \cong R_{m-1, n-1}$ ,
- $W = \mathcal{S}$ , and
- $S \cap V_1 = \text{Syz} (a_0, a_1, a_2)_{m-1, n-1} = \{0\}.$

Let  $\mathcal{B} = \{s^{\alpha}t^{\beta}x_3 : 0 \leq \alpha \leq m-1, 0 \leq \beta \leq n-1\}$ . According to Lemma 4.11, there is a set  $B = \{(\alpha_1, \beta_1), \ldots, (\alpha_k, \beta_k)\}$  and a basis  $C = \{P_1, \ldots, P_k\}$  of S such that  $\pi(P_i)$ , which is the part of  $P_i$  in  $(R_{m-1,n-1})x_3$ , has the form

$$\pi(P_i) = s^{\alpha_i} t^{\beta_i} x_3 + \sum_{(\alpha,\beta) \notin B} b_{i,\alpha\beta} s^{\alpha} t^{\beta} x_3$$

where i = 1, 2, ..., k. We claim that  $\{P_i x_j\}_{i=1, j=0}^{i=k, j=3}$  is a linearly independent set. We need to show that if

(14) 
$$\sum_{i=1}^{k} \sum_{j=0}^{3} c_{ij} P_i x_j = 0$$

where  $c_{ij} \in \mathbb{C}$ , then we must have  $c_{ij} = 0$  for all i, j. Since

$$\mathcal{B}'' = \{ s^{\alpha} t^{\beta} x_i x_j : 0 \le \alpha \le m - 1, \ 0 \le \beta \le n - 1, \ 1 \le i \le j \le 3 \}$$

is a basis of  $\bigoplus_{0 \le i \le j \le 3} (R_{m-1, n-1}) x_i x_j$ , and since  $P_i$  is the only element of  $\mathcal{C}$  that contains the term  $s^{\alpha_i}t^{\beta_i}x_3$ , it follows that  $P_ix_i$  is the only term in (14) that contains the basis element  $s^{\alpha_i}t^{\beta_i}x_ix_3$  and hence the coefficient of this term, namely  $c_{ij}$ , must be 0. Thus  $c_{ij}=0$  for i=1,  $2, \ldots, k$  and j = 0, 1, 2, an that follow  $\phi$  are linearly independent, and hence  $\dim_{\mathbb{C}} \mathcal{Q} = 4k$ .

**Theorem 4.13.** Let  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  be given by  $\phi(s, u; t, v) = [a_0, a_1, a_2, a_3]$  where each  $a_i \in R$  is a bihomogeneous polynomial of bidegree (m,n), and assume that the base point scheme of  $\phi$  satisfies conditions B1 - B6. Then

$$\dim_{\mathbb{C}} \text{Syz} (I^2)_{m-1, n-1} = mn + 3k.$$

*Proof.* If  $MQ: R_{m-1, n-1}^{10} \to R_{3m-1, 3n-1}$  is the map such that  $MQ(A_{00}, A_{01}, \ldots, A_{33}) =$  $\sum_{0 \le i \le j \le 3} A_{ij} a_i a_j$ , we have that

$$10mn - \text{Rank}(MQ) = \dim_{\mathbb{C}} \text{Syz}(I^2)_{m-1, n-1}$$

is the number of linearly independent moving quadrics. If Rank  $(MQ) \geq 9mn - 3k$ , then

$$\dim_{\mathbb{C}} \operatorname{Syz} (I^{2})_{m-1, n-1} = 10mn - \operatorname{Rank} (MQ) \leq mn + 3k.$$

But Lemma 4.9 shows that  $\dim_{\mathbb{C}} \operatorname{Syz}(I^2)_{m-1, n-1} \geq mn + 3k$ , and hence  $\dim_{\mathbb{C}} \operatorname{Syz}(I^2)_{m-1, n-1} = mn + 3k$ mn + 3k will follow, once we have shown that Rank  $(MQ) \ge 9mn - 3k$ .

We now verify that this inequality is valid. Since  $\phi$  satisfies condition B6, the proof of Lemma 4.12, shows that there is an indexed set  $B = \{(\alpha_1, \beta_1), \ldots, (\alpha_k, \beta_k)\}$  and a basis of moving planes  $\{P_1, \ldots, P_k\}$  such that

(15) 
$$P_i = s^{\alpha_i} t^{\beta_i} x_3 + \sum_{(\alpha, \beta) \notin B} b_{i, \alpha \beta} s^{\alpha} t^{\beta} x_3 + \sum_{j=0}^2 \sum_{(\alpha, \beta)} c_{i, \alpha \beta} s^{\alpha} t^{\beta} x_j$$

where i = 1, 2, ..., k. As with MP, the matrix representing MQ with respect to the standard bases is also denoted MQ. Thus the columns of MQ are indexed by

$$\Lambda = \{ s^{\alpha} t^{\beta} x_i x_j : 0 \le \alpha \le m - 1, \ 0 \le \beta \le n - 1, \ 0 \le i \le j \le 3 \}.$$

If

$$\Lambda_P = \{ s^{\alpha_i} t^{\beta_i} x_j x_3, \ s^{\alpha} t^{\beta} x_3^2 : 1 \le i \le k, \ 0 \le \alpha \le m - 1, \ 0 \le \beta \le n - 1, \ 0 \le j \le 2 \},$$

and  $\Lambda' = \Lambda \setminus \Lambda_P$ , then  $|\Lambda'| = 10mn - (mn + 3k) = 9mn - 3k$ . Let MQ' be the matrix obtained from MQ by deleting the columns indexed by  $\Lambda_p$ . Thus the nonzero elements of Ker (MQ') correspond to nontrivial syzygies:

(16)  $A_{00}a_0^2 + A_{01}a_0a_1 + A_{02}a_0a_2 + A_{03}a_0a_3 + A_{11}a_1^2 + A_{12}a_1a_2 + A_{13}a_1a_3 + A_{22}a_2^2 + A_{23}a_2a_3 = 0$  where  $A_{ij}$  is bihomogeneous of bidegree (m-1, n-1) and there are no terms  $s^{\alpha_i}t^{\beta_i}$  in  $\{A_{i3}\}_{i=0}^2$ . Since every term contains  $a_0, a_1,$  or  $a_2$ , we obtain:

 $(A_{00}a_0 + A_{01}a_1 + A_{02}a_2 + A_{03}a_3)a_0 + (A_{11}a_1 + A_{12}a_2 + A_{13}a_3)a_1 + (A_{22}a_2 + A_{23}a_3)a_2 = 0,$ which means that

$$(B_1, B_2, B_3) = (A_{00}a_0 + A_{01}a_1 + A_{02}a_2 + A_{03}a_3, A_{11}a_1 + A_{12}a_2 + A_{13}a_3, A_{22}a_2 + A_{23}a_3)$$
 is a syzygy of  $\langle a_0, a_1, a_2 \rangle$ . Each  $B_i$  has bidegree  $(2m - 1, 2n - 1)$  and

$$B_i \in \langle a_0, a_1, a_2, a_3 \rangle \subseteq \operatorname{sat}\langle a_1, a_1, a_2 \rangle$$

by condition B5. Therefore, each  $B_i$  vanishes on the base point scheme of  $\langle a_0, a_1, a_2 \rangle$ . By condition B5,  $\mathbb{V}(a_0, a_1, a_2) = \mathbb{V}(I)$ , and thus, by B2,  $\mathbb{V}(a_0, a_1, a_2) \subset \mathbf{P}^1 \times \mathbf{P}^1$  is finite and each base point is a local complete intersections (condition B3). Since each  $a_i$  has bidegree (m, n), while each  $B_i$  has bidegree (2m-1, 2n-1), we have (2m-1-2m+1)(2n-1-2n+1)=0. Therefore, all the hypotheses of Theorem 3.10 are satisfied, and we conclude that all syzygies of bidegree (2m-1, 2n-1) of  $\langle a_0, a_1, a_2 \rangle$  that vanish on the base point scheme are in fact Koszul syzygies. Since  $(B_0, B_1, B_2)$  is a syzygy that vanishes on  $\mathbb{V}(a_0, a_1, a_2)$ , it follows that there are bihomogeneous polynomials  $h_1, h_2$ , and  $h_3$  in R of bidegree (m-1, n-1) such that:

$$A_{00}a_0 + A_{01}a_1 + A_{02}a_2 + A_{03}a_3 = h_1a_2 + h_2a_1$$

$$A_{11}a_1 + A_{12}a_2 + A_{13}a_3 = -h_2a_0 + h_3a_2$$

$$A_{22}a_2 + A_{23}a_3 = -h_1a_0 - h_3a_1.$$

We can rewrite the above equations to get:

$$(17) A_{00}a_0 + (A_{01} - h_2)a_1 + (A_{02} - h_1)a_2 + A_{03}a_3 = 0,$$

$$(18) h_2a_0 + A_{11}a_1 + (A_{12} - h_3)a_2 + A_{13}a_3 = 0,$$

$$(19) h_1 a_0 + h_3 a_1 + A_{22} a_2 + A_{23} a_3 = 0.$$

We know that  $A_{ij}$  is bihomogeneous of bidegree (m-1, n-1) and there are no  $s^{\alpha_i}t^{\beta_i}$  terms in  $\{A_{i3}\}_{i=0}^2$ . Thus Equations (17), (18), (19) are nontrivial syzygies of  $\langle a_0, a_1, a_2, a_3 \rangle$  which correspond to moving planes P with no  $s^{\alpha_i}t^{\beta_i}x_3$  term for  $1 \leq i \leq k$ . But  $\{P_1, \ldots, P_k\}$  is a basis of moving planes. Any nonzero moving plane  $P = c_1P_1 + \cdots + c_kP_k$  must have some nonzero term  $s^{\alpha_i}t^{\beta_i}x_3$ , since if  $c_i \neq 0$ , then  $s^{\alpha_i}t^{\beta_i}x_3$  appears.

Hence the nontrivial syzygies from Equations (17), (18), (19) cannot exist. Thus  $Ker(MQ') = \{0\}$ , so

Rank 
$$(MQ) \ge \text{Rank } (MQ') = 9mn - 3k$$
,

as required, and hence we conclude that  $\dim_{\mathbb{C}} \operatorname{Syz}(I^2)_{m-1, n-1} = mn + 3k$ .

Remark 4.14. Under the hypothesis of Theorem 4.13, the condition Syz  $(I^2)_{m-1,n-1} = mn + 3k$  means that there are exactly mn + 3k linearly independent moving quadrics of bidegree (m-1, n-1) that follow the parametrization  $\phi$ . Moreover, the proof shows that there are no nontrivial moving quadrics with nonzero coordinates coming only from the basis elements  $\Lambda' = \Lambda \setminus \Lambda_P$  (because Ker  $(MQ') = \{0\}$ ). Hence any nontrivial moving quadric Q must have at least one nonzero coordinate from a term in the set

$$\Lambda_P = \{ s^{\alpha_i} t^{\beta_i} x_j x_3, \ s^{\alpha} t^{\beta} x_3^2 : 1 \le i \le k, \ 0 \le \alpha \le m-1, \ 0 \le \beta \le n-1, \ 0 \le j \le 2 \}.$$

This observation will be key to the proof of Theorem 4.15.

If  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  satisfies the base point conditions B1 – B6, then Lemma 4.5 shows that there are exactly k linearly independent moving planes  $\mathcal{MP} = \{P_\gamma\}_{\gamma=1}^k$  which follow  $\phi$ , where  $k \leq mn$  is the total multiplicity of all base points of  $\phi$ , and Theorem 4.13 shows that there are exactly mn + 3k linearly independent moving quadrics  $\mathcal{MQ} = \{Q_\tau: 1 \leq \tau \leq mn + 3k\}$  that follow  $\phi$ . Each moving plane can be written as

$$P_{\gamma} = \sum_{i=0}^{3} A_i x_i = \sum_{\alpha=0}^{m-1} \sum_{\beta=0}^{n-1} P_{\gamma,\alpha\beta}(x_0, x_1, x_2, x_3) s^{\alpha} t^{\beta},$$

and each moving quadric  $Q_{\tau}$  can be written as (see Equation (4))

$$Q_{\tau} = \sum_{0 \le i \le j \le 3} A_{ij} x_i x_j = \sum_{\alpha=0}^{m-1} \sum_{\beta=0}^{n-1} Q_{\tau,\alpha\beta}(x_0, x_1, x_2, x_3) s^{\alpha} t^{\beta},$$

where  $P_{\gamma,\alpha\beta}(x_0, x_1, x_2, x_3)$  is a homogeneous linear form and  $Q_{\tau,\alpha\beta}$  is a homogeneous quadratic form in  $x_i$  with coefficients in  $\mathbb{C}$ . Our goal is to choose the sets of moving planes  $\mathcal{MP}$  and moving quadrics  $\mathcal{MQ}$  in such a way that all k of the moving planes and mn - k of the moving quadrics can be combined into a single  $mn \times mn$  matrix (which will depend on the choice of  $\mathcal{MP}$  and  $\mathcal{MQ}$ )

(20) 
$$M = \begin{bmatrix} P_{\gamma, \alpha\beta}(x_0, x_1, x_2, x_3) \\ Q_{\tau, \alpha\beta}(x_0, x_1, x_2, x_3) \end{bmatrix}$$

such that the equation of the image surface  $S = \operatorname{Im}(\phi)$  is given by the determinantal equation |M| = 0, as long as  $\phi$  is generically one-to-one. The strategy for constructing M is to start with an arbitrary basis  $\mathcal{MP}$  of moving planes (consisting of k moving planes), and then choose a basis of moving quadrics  $\mathcal{MQ}$  (consisting of mn + 3k moving quadrics) in such a manner that 4k of the moving quadrics are obtained by multiplying the moving planes of  $\mathcal{MP}$  by each of the coordinate functions  $x_i$  ( $0 \le i \le 3$ ). If these 4k moving quadrics are deleted from the set  $\mathcal{MQ}$ , then the remaining mn - k are used for the matrix M of Equation (20). The justification for this procedure constitutes the proof of our main result.

**Theorem 4.15.** Let  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  be a parametrization of a surface  $S = \operatorname{Im}(\phi) \subset \mathbf{P}^3$ . If  $\phi$  is generically one-to-one and satisfies base point conditions B1 - B6, then a basis of moving planes  $\mathcal{MP}$  and a basis of moving quadrics  $\mathcal{MQ}$  can be chosen so that S is defined by the determinantal equation |M| = 0, where M is the  $mn \times mn$  matrix of Equation (20).

*Proof.* By Lemma 4.5, dim<sub>C</sub> Syz  $(a_0, a_1, a_2, a_3)_{m-1, n-1} = k$ , and the proof of Lemma 4.12 shows that there is an indexed set  $B = \{(\alpha_1, \beta_1), \ldots, (\alpha_k, \beta_k)\}$  and a basis of moving planes  $\mathcal{MP} = \{P_1, \ldots, P_k\}$  such that

(21) 
$$P_{i} = s^{\alpha_{i}} t^{\beta_{i}} x_{3} + \sum_{(\alpha,\beta) \notin B} b_{i,\alpha\beta} s^{\alpha} t^{\beta} x_{3} + \sum_{j=0}^{2} \sum_{(\alpha,\beta)} c_{i,\alpha\beta} s^{\alpha} t^{\beta} x_{j}$$

where i = 1, 2, ..., k. By Theorem 4.13,

$$\dim_{\mathbb{C}} \text{Syz } (I^2)_{m-1, n-1} = mn + 3k.$$

We now describe how to produce a convenient basis of Syz  $(I^2)_{m-1, n-1}$ . Let  $V_{\Lambda'}, V_{\Lambda_p}$  be subspaces of  $V = \bigoplus_{0 \le i \le j \le 3} (R_{m-1, n-1}) x_i x_j \cong R_{m-1, n-1}^{10}$  with bases  $\Lambda'$ ,  $\Lambda_P$ respectively, where (as in the proof of Theorem 4.13)

$$\Lambda = \{s^{\alpha}t^{\beta}x_{i}x_{j} : 0 \le \alpha \le m-1, \ 0 \le \beta \le m-1, \ 0 \le i \le j \le 3\},\$$

$$\Lambda_P = \{ s^{\alpha_i} t^{\beta_i} x_j x_3, \ s^{\alpha} t^{\beta} x_3^2 : 0 \le i \le k, \ 0 \le \alpha \le m-1, \ 0 \le \beta \le n-1, \ 0 \le j \le 2 \}.$$

and  $\Lambda' = \Lambda \setminus \Lambda_P$ . Then  $V = V_{\Lambda'} \oplus V_{\Lambda_p}$  and the proof of Theorem 4.13 (namely, the proof that  $\operatorname{Ker}(MQ') = \{0\}$ ) shows that  $\operatorname{Syz}(I^2)_{m-1, n-1} \subset V$  satisfies

Syz 
$$(I^2)_{m-1, n-1} \cap V_{\Lambda'} = \{0\}.$$

We conclude that if  $\pi: V \to V_{\Lambda_P}$  given by  $\pi(v_1 + v_2) = v_2$  is the projection onto  $V_{\Lambda_P}$  along  $V_{\Lambda'}$ , then  $\pi|_{\text{Syz}(I^2)_{m-1,n-1}}$  is an isomorphism, since

$$\dim_{\mathbb{C}} \operatorname{Syz} (I^2)_{m-1,n-1} = \dim_{\mathbb{C}} V_{\Lambda_P} = mn + 3k.$$

Thus  $\mathcal{MQ} = \pi^{-1}(\Lambda_P)$  is a basis of moving quadrics that follow  $\phi$ .

Let  $Q_{x_jx_3,i} = \pi^{-1}(s^{\alpha_i}t^{\beta_i}x_jx_3)$ , for  $1 \leq i \leq k$ , and  $0 \leq j \leq 3$ . Since  $x_jP_i \in \operatorname{Syz}(I^2)_{m-1,n-1}$ , and  $\pi(x_j P_i) = s^{\alpha_i} t^{\beta_i} x_j x_3$  (see Equation 21), the fact that  $\pi|_{\text{Syz}(I^2)_{m-1,n-1}}$  is an isomorphism shows that  $x_i P_i = Q_{x_i x_3, i}$ . Thus, we have identified the set of moving quadrics in  $\mathcal{MQ}$  which arise from multiplication of the moving planes in  $\mathcal{MP}$  by the homogeneous coordinate functions  $x_j \ (0 \le j \le 3)$ . These are excluded when forming the matrix M.

Let 
$$Q_{\gamma\delta} = \pi^{-1}(s^{\gamma}t^{\delta}x_3^2)$$
, where

$$(\gamma, \delta) \in \{(\alpha, \beta) : 0 \le \alpha \le m - 1, \ 0 \le \beta \le n - 1\} \setminus \{(\alpha_i, \beta_i) : 1 \le i \le k\} := C_P.$$

These mn-k moving quadrics in the basis  $\mathcal{MQ}$  do not come from the moving planes of  $\mathcal{MP}$ by multiplication by  $\{x_i\}_{i=0}^3$ . Thus, they can be combined with the k moving planes  $\mathcal{MP}$  to produce the matrix M. Hence

(22) 
$$M = \begin{bmatrix} P_{i,\alpha\beta}(x_0, x_1, x_2, x_3) \\ Q_{\gamma\delta,\alpha\beta}(x_0, x_1, x_2, x_3) \end{bmatrix}$$

where  $1 \leq i \leq k$  and  $(\gamma, \delta) \in C_P$ , and the columns are indexed by the monomial basis  $s^{\alpha}t^{\beta}$  of  $R_{m-1, n-1}$  with  $0 \le \alpha \le m-1, 0 \le \beta \le n-1$ .

The k moving planes  $P_i$  have the form

$$P_i = s^{\alpha_i} t^{\beta_i} x_3 + \sum_{(\alpha, \beta) \notin B} b_{i, \alpha \beta} s^{\alpha} t^{\beta} x_3 + \sum_{j=0}^{2} \sum_{(\alpha, \beta)} c_{i, \alpha \beta} s^{\alpha} t^{\beta} x_j,$$

while the mn-k moving quadrics  $Q_{\gamma\delta}$  for  $(\gamma, \delta) \in C_P$  have the form

$$Q_{\gamma\delta} = x_3^2 s^{\gamma} t^{\delta} + \text{ terms not involving } x_3^2.$$

That is, the term  $s^{\alpha_i}t^{\beta_i}x_3$  occurs in  $P_i$ , but in no other  $P_j$  for  $j \neq i$ , while the term  $x_3^2s^{\gamma}t^{\delta}$ occurs in  $Q_{\gamma\delta}$ , but no other term of the form  $x_3^2 s^{\gamma'} t^{\delta'}$  occurs in  $Q_{\gamma\delta}$ . Thus the matrix M of Equation (22) will have k linear rows and mn-k quadratic rows in the variables  $x_0, x_1, x_2$ and  $x_3$ . Moreover, we can order the rows and columns in such a way that all of the  $x_3^2$  terms (one for each quadratic row) occur on the last mn-k diagonals, while the first k diagonals have the term  $x_3$  coming from the terms  $s^{\alpha_i}t^{\beta_i}x_3$  in  $P_i$   $(1 \le i \le k)$ . Thus, after appropriate ordering of the rows and columns, M will have the form

$$M = \begin{bmatrix} x_3 + \cdots & & & & \\ & \ddots & & & \\ & & x_3 + \cdots & & \\ & & & x_3^2 + \cdots & \\ & & & & \ddots & \\ & & & & x_3^2 + \cdots \end{bmatrix}.$$

There are k linear rows and mn - k quadratic rows, so the determinant of M contains the term  $x_3^{2mn-k}$ , which occurs in the multiplication of the diagonal entries. Since the  $x_3^2$  term appears only in the last mn - k diagonal entries, and in the upper left  $k \times k$  block, the term  $x_3$  appears only on the diagonal, it follows that 2mn - k is the highest power of  $x_3$  that can appear in |M|, and this power appears with nonzero coefficient. Thus |M| is not identically zero. Since M contains mn - k rows of quadratic terms in  $x_i$  and k rows of linear terms in  $x_i$ , the total degree of |M| is 2mn - k. By construction, the rows of M represent moving quadrics and moving planes that follow the surface, and hence, when  $x_i$  is replaced by  $a_i$  it follows that the columns of M are linearly dependent. Therefore, |M| vanishes for points on the surface. From the degree formula

$$deg(\phi) deg(S) = 2mn - \sum_{\text{base points}} \text{multiplicity of the base point}$$

and the fact that  $\phi$  is generically one to one, and the total multiplicity of all base points is k, we conclude that  $\deg S = 2mn - k$ . But this is the same degree as |M| so |M| = 0 must be the implicit equation of the image of  $\phi$ .

Example 4.16. Consider  $\phi: \mathbf{P}^1 \times \mathbf{P}^1 \to \mathbf{P}^3$  given by the following parametrization:

$$a_0 = u^2tv + s^2tv$$
,  $a_1 = u^2t^2 + suv^2$ ,  $a_2 = s^2v^2 + s^2t^2$ ,  $a_3 = s^2tv$ .

Here m=n=2,  $\mathbb{V}(a_0,a_1,a_2,a_3)=(0:1;0:1)$  and the multiplicity of the single base point is one. This base point is a local complete intersection and  $\deg \mathbb{V}(I)=1$ . Using Singular [7], one can verify that the base point conditions B1 – B6 are satisfied, since  $\dim_{\mathbb{C}}(R/I)_{3,3}=1$ ,  $a_3\in\operatorname{sat}(a_0,a_1,a_2)$  and  $\dim_{\mathbb{C}}\operatorname{Syz}(a_0,a_1,a_2)_{1,1}=0$ . Also, by Singular, we find one moving plane of bidegree (1,1) which is

$$-x_2 + tx_3 + sx_1 + st(x_3 - x_0)$$

and three linearly independent moving quadrics of bidegree (1,1) which are complementary to  $x_i(-x_2+tx_3+sx_1+st(x_3-x_0))$  for i=0,1,2,3:

$$x_0x_3 + t(x_0x_2 + x_1x_3 + x_2x_3) + s(-x_0x_3 + x_3^2)$$

$$(x_1x_3 - x_2x_3) + t(x_0x_3 + 2x_3^2) + s(-x_0x_2 + x_1x_3 + x_2x_3)$$

$$(x_2^2 - x_3^2) + t(-x^2x_3) + s(x_0x_3 - x_1x_2 - x_3^2) + stx_1x_3$$

Thus the matrix M is

$$M = \begin{bmatrix} -x_2 & x_3 & x_1 & x_3 - x_0 \\ x_0 x_3 & x_0 x_2 + x_1 x_3 + x_2 x_3 & -x_0 x_3 + x_3^2 & 0 \\ x_1 x_3 - x_2 x_3 & x_0 x_3 + 2x_3^2 & -x_0 x_2 + x_1 x_3 + x_2 x_3 & 0 \\ x_2^2 - x_3^2 & -x^2 x_3 & x_0 x_3 - x_1 x_2 - x_3^2 & x_1 x_3 \end{bmatrix}$$

and

$$\begin{split} |M| &= -x_0^3 x_2^4 + x_0^2 x_1^2 x_2^2 x_3 + x_0^2 x_2^4 x_4 + x_0 x_1^2 x_2^2 x_3^2 + \\ & 2x_0 x_1 x_2^3 x_3^2 + x_0 x_2^4 x_3^2 - x_0^4 x_3^3 - 2x_0^2 x_1^2 x_3^3 - x_1^4 x_3^3 + \\ & 3x_0^2 x_1 x_2 x_3^3 - 2x_1^3 x_2 x_3^3 + 3x_0^2 x_2^2 x_3^3 - 2x_1^2 x_2^2 x_3^3 - 2x_1 x_2^3 x_3^3 \\ & -x_2^4 x_3^3 + 5x_0^3 x_3^4 + x_0 x_1^2 x_3^4 - 7x_0 x_1 x_2 x_3^4 - 6x_0 x_2^2 x_3^4 - 9x_0^2 x_3^5 \\ & + x_1^2 x_3^5 + 4x_1 x_2 x_3^5 + 3x_2^2 x_3^5 + 7x_0 x_3^6 - 2x_3^7. \end{split}$$

Thus the theorem gives |M| = 0 as the implicit equation of  $S = \text{Im}(\phi)$ . Note |M| is a polynomial of degree 7 which is the same as the degree of the parametrized surface.

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